Express Mail Label No.: EV 307 783 075 US

Date of Deposit: March 26, 2004

ATTORNEY DOCKET NO: A0602-700120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael J. Barrett

Serial No:

Not Yet Assigned

Confirmation No.: Filed:

Not Yet Assigned March 26, 2004

For:

METHOD AND APPARATUS FOR PROVIDING A SIGNAL TO

PASSENGERS OF A PASSENGER VEHICLE

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

MAIL STOP PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF

DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

1. The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The applicant would like to bring to the Examiner's attention the following copending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Inventor(s)
09/721,326	November 22, 2000	Michael Barrett et al

- 3. The applicant would like to bring to the Examiner's attention the enclosed search reports from related International Applications: PCT/US 01/30195 and PCT/US 99/25008.
- 4. The applicant would like to bring to the Examiner's attention the following other information, whose relevance is discussed in Part IV below:

<u>PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited</u>

Please see the enclosed search reports and/or provided English abstracts for an explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified).

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Michael J. Barrett, Applicant

John N. Anastasi, Reg. No. 37,765

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, Massachusetts 02142

Telephone: 617-395-7001 Facsimile: 617-395-7070

Date: March 26, 2004

FORM PTO-1449/A and B (Modified)	APPLICATION NO.: Newly filed application		ATTY. DOCKET NO.:	A0602-700120
INFORMATION DISCLOSURE	FILING DATE: March 26, 2004		CONF. NO.:	N/A
STATEMENT BY APPLICANT	APPLICANT: Michael J. Barrett.			······································
	GROUP ART UNIT:	N/A	EXAMINER: N/A	
Sheet 1 of 3	<u> </u>			

U.S. PATENT DOCUMENTS

Examiner's Cite Initials# No.		U.S. Patent Document Kind		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY	
		Number Code				
	Al	2,405,242		Southworth, George C.	08-06-1946	
	A2	2,571,386		D. Sarnoff	10-16-1951	
<u>-</u>	A3	2,573,746		Watson, William Heriot et al.	11-06-1951	
	A4	2,626,348		C.E. Nobles	01-20-1953	
	A5	2,748,266		R. C. Boyd	05/29/1956	
	A6	3,281,851		Goebels, Frank J.	10-25-1966	
	A7	3,687,213		Sato, et al.	08-29-1972	
	A8	3,701,162		Seaton, Arthur F.	10-24-1972	
	A9	4,056,779		Toler	11-01-1977	
	A10	4,163,216		Arpino, Roberto	07-1979	
	A11	4,234,959		Andrea, III et al.	11-19-1980	
	A12	4,451,699		Gruenberg	05-1984	
	A13	4,518,967		Westerman, Charles W.	05-21-1985	
	A14	4,539,706		Mears et al.	09-1985	
	A15	4,823,139		Eisner, et al.	04-18-1989	
<u> </u>	A16	4,882,765		Maxwell, et al.	11-21-1989	
···	A17	4,916,458		Goto, Naohisa	04-10-1990	
	A18	5,045,839		Ellis	09-03-1991	
	A19	5,056,152		Truby et al.	10-08-1991	
	A20	5,109,526		Reed	04-1992	
	A21	5,239,666		Truby	08-24-1993	
<u> </u>	A22	5,303,240		Borras et al.	04-1994	
	A23	5,309,162		Uematsu, Mashahiro, et al.	05-03-1994	
	A24	5,311,302		Berry, Dickey J. et al.	05-10-1994	
	A25	5,345,594		Tsuda	09-06-1994	
	A26	5,355,511		Hatano et al.	10-11-1994	
	A27	5,371,901		Reed et al.	12-06-1994	
	A28	5,390,214		Hopkins, et al.	02-14-1995	
- <u>-</u> -	A29	5,392,053		Hirata, et al.	02-21-1995	
	A30	5,416,492		Takahashi, Akira et al.	05-16-1995	
	A31	5,519,761		Gilhousen, Klein	05-21-1996	
	A32	5,530,909		Simon et al.	06-25-1996	

FORM PTO-1449/A and B (Modified)			APPLICATION NO.: Newly filed application	on	ATTY. DOCKET NO	O.: A0602-700120		
INFORMATION DISCLOSURE					FILING DATE: March 26, 2004		CONF. NO.:	N/A
STATEMENT BY APPLICANT			APPLICANT:	Michael J. Ba	arrett			
			GROUP ART UNIT:	N/A	EXAMINER: N/A			
Sheet	2	of	3					<u> </u>

	A33	5,577,264	Tuohino	11-1996
	A34	5,579,019	Uematsu, Masahiro et al.	11-26-1996
	A35	5,594,937	Stiles et al.	01-1997
	A36	5,659,879	Dupuny, Pierre	08-19-1997
<u> </u>	A37	5,689,245	Noreen, et al.	. 11-18-1997
	A38	5,729,826	Gravrilovich	03-1998
	A39	5,768,683	Mulford	06-16-1998
	A40	5,857,144	Mangum et al.	01-1999
	A41	5,880,693	Drummer	03-09-1999
	A42	5,887,258	Lemozit et al.	03-1999
	A43	5,915,208	Collyer	06-1999
	A44	5,937,332	Karabinis, Peter D.	08-1999
	A45	5,956,639	Ambruster et al.	09-21-1999
	A46	5,973,647	Barrett et al.	10-26-1999
	A47	5,570,354	Simon	10-1999
	A48	5,982,333	Stillinger et al.	11-09-1999
	A49	5,995,804	Rootsey et al	11-30-1999
·	A50	5,995,845	Lardennois, Regis	11-1999
	A51	6,047,165	Wright et al.	04-04-2000
	A52	6,058,288	Reed et al.	05-2000
	A53	6,104,712	Robert et al.	08-2000
	A54	6,104,910	Koths	08-2000
	A55	6,125,261	Anselmo et al.	09-26-2000
··	A56	6,141,533	Wilson, et al.	10-31-2000
	A57	6,285,878	Lai	09-04-2001
	A58	6,459,881	Hoder et al.	10-2002
	A59	6,473,617	Larsen et al.	10-2002
<u> </u>	A60	6,564,002	Murakami et al.	05-2003
	A61	6,577,419	Hall et al.	06-2003

APPLICATION NO.: Newly filed application	n	ATTY. DOCKET NO.:	A0602-700120
FILING DATE: March	26, 2004	CONF. NO.: N/A	
APPLICANT: Michael J. Barrett			
GROUP ART UNIT:	N/A	EXAMINER: N/A	
	Newly filed application FILING DATE: March APPLICANT:	Newly filed application FILING DATE: March 26, 2004 APPLICANT: Michael J. Barret	Newly filed application ATTY. DOCKET NO.: FILING DATE: March 26, 2004 CONF. NO.: APPLICANT: Michael J. Barrett

FOREIGN PATENT DOCUMENTS

Examiner's Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	70	
Initials#	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	Translation (Y/N)
	A62	GB	2 161 652	Α	Matsushita Electric Works, Ltd.	01-15-1986	N/A
	A63	EP	0 329 079	A	Asahi Kaei Kogyo Kabushiki	08-23-1989	N/A
	A64	FR	2 638 288	A	Arimura Giken Kabushiki Kaisha	04-27-1990	N/A
	A65	GB	2 247 990	A	British Satellite Broadcasting Ltd.	03-18-1992	N/A
	A66	JP	6061900	A	Kirisawa	03-1994	Eng. Abst.
	A67	DE	197 48 681	A1	Innotech GmbH	05-12-1999	Eng. Abst.
	A68	EP	1 017 188	A2	Lucent Technologies, Inc.	07-05-2000	N/A
	A69	GB	2 346 511	A	Steele	08-2000	N/A
	A70	EP	1 058 409	A1	Contraves Space AG	12-06-2000	Eng. Abst.

EXAMINER	DATE CONSIDERED
#EVANDIED L VILIG G	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier applicatio